

Rec'd PCT/PYTO 01 MAY 2002



May 1, 2002

UNITED STATES PATENT AND TRADEMARK OFFICE
Commissioner of Patents
Office of PCT Legal Administration
Crystal Plaza Two, 8th Floor
Box PCT, Washington D.C., 20231

ATTORNEYS AT LAW
C. IRVIN McCLELLAND
(703) 413-3000
IMCLELLAND@OBLOON.COM

Attn: PCT Legal Office

Re: Deposit Account # 150030

Enclosed is a copy of page 3 of our deposit account statement of 3/29/02. Note the highlighted charges on serial number 10/019,282 for \$108.00 on fee code #966 and \$420.00 on fee code #964.

When this application was filed on 1/2/02, no payment was made for extra claims. On 4/11/02 a preliminary amendment was filed. At that time payment was made for 29 extra total claims (12 additional claims filed with the original application and 17 additional claims filed with the preliminary amendment for a total of 29) and 5 extra independent claims (filed with original application). There are no additional fees due.

Please review this file and refund \$528.00 to our deposit account #150030.

Copies of the appropriate paperwork are attached.

If you have questions concerning this request, please contact Debbie Noel at (703) 412-6296.

With best regards,

OBLON, SPIVAK, McCLELLAND
MAIER & NEUSTADT, P.C.

A handwritten signature in black ink, appearing to read 'C. Irvin McClelland'.

C. Irvin McClelland

CIM/djn
Enclosures



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

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Washington, D.C. 20231

MONTHLY STATEMENT
OF DEPOSIT ACCOUNT

To replenish your Deposit Account, detach and return top portion with your check. Make check payable to Commissioner of Patents & Trademarks.

NORMAN F. OBLON

FINA

| |
|-------------|
| Account No. |
| 150030 |
| Date |
| 3-29-02 |
| Page |
| 3 |

CRYSTAL SQUARE FIVE, SUITE 400
1755 S JEFFERSON DAVIS HWY
ARLINGTON VA 22202

PLEASE SEND REMITTANCES TO:
Patent and Trademark Office
P.O. Box 70541
Chicago, Ill. 60673

| DATE POSTED | | | CONTROL NO. | DESCRIPTION (Serial, Patent, TM, Order) | DOCKET NO. | FEE CODE | CHARGES/ CREDITS | BALANCE |
|-------------|-----|-----|-------------|--|--------------|----------|---------------------|----------|
| MO. | DAY | YR. | | | | | | |
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| 3 | 8 | 02 | 48 | 5839348 | | 183 | 880.00 | 28083.71 |
| 3 | 8 | 02 | 200 | 09048899 | 0557-4335-2 | 142 | 1280.00 | 26803.71 |
| 3 | 11 | 02 | 2 | 76129195 | 19736US-3087 | 363 | 100.00 | 26703.71 |
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| 3 | 11 | 02 | 27 | 5363756 | | 184 | 2020.00 | 21673.71 |
| 3 | 11 | 02 | 101 | 534747 | | 566 | 15.00 | 21658.71 |
| 3 | 11 | 02 | 190 | 534906 | | 567 | 30.00 | 21628.71 |
| 3 | 11 | 02 | 451 | 10019282 | 217770USOPCT | 966 | 108.00 | 21520.71 |
| 3 | 11 | 02 | 452 | 10019282 | 217770USOPCT | 964 | 420.00 | 21100.71 |
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| 3 | 12 | 02 | 4 | 09330064 | | 561 | 30.00 | 20940.71 |
| 3 | 12 | 02 | 8 | 09656432 | 197065US2/SL | 126 | 180.00 | 20760.71 |
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| 3 | 12 | 02 | 50 | 09453078 | 0327-0808-0 | 117 | 20.00 | 40740.71 |
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| 3 | 13 | 02 | 1 | 09657148 | 197086US67 | 115 | 110.00 | 41845.71 |
| 3 | 13 | 02 | 2 | 09486695 | 0524-3248-0 | 122 | -130.00 | 41975.71 |

AN AMOUNT SUFFICIENT TO
COVER ALL SERVICES REQUESTED
MUST ALWAYS BE ON DEPOSIT.

OPENING BALANCE TOTAL CHARGES TOTAL CREDITS CLOSING BALANCE

OSMM&N File No. 217770US0PCT By NFO/rkm Due Date 01-02-02
/FF

Serial No. New U.S. PCT Application based on PCT/JP00/04285

In the matter of the Application of Takashi SHIBATA et al.

For GENE ENCODING CYCLIC LIPOPEPTIDE ACYLASE AND EXPRESSION
OF THE SAME

The following has been received in the U.S. Patent Office on the date stamped hereon:

39 pgs. Specification 26 Claims (English Translation) 32 pgs. Sequence Listing
 Combined Declaration, Petition & Power of Attorney (pages)
 Petition to Revive Under 37 C.F.R. 1.137 (b)
 Notice of Priority;
 Check for \$ 1020.00; Dep. Acct. Order Form
 Assignment pages/PTO-1595
 Drawings 2 sheets
 PCT Transmittal Letter
 Preliminary Amendment
 PCT/IB/304 PCT/IB/308
 Letter Regarding Claim to Small Entity Status
 International Preliminary Examination Report
 Information Disclosure Statement; PTO-1449
 Cited References () Statement of Relevancy
 List of Related Cases Cited Pending Applications(#)
 International Search Report
 Request for Consideration of Documents Cited in International Search Report
 Letter Regarding Translation of Annexes
 Translation of Annexes to International Preliminary Examination Report
Amended Sheets ()

SERIAL NO.

09/926851 10/09/2002

DATE RECEIVED

98 Rec'd PCT/PTO 02 JAN 2002

CLAIMING SMALL ENTITY STATUS

CLAIM CALCULATION SHEET

| IND | DEP |
|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|
| 1 | | 51 | | 1 | | 51 | | 1 | | 51 | |
| 2 | 1 | 52 | | 2 | 1 | 52 | | 2 | | 52 | |
| 3 | 2 | 53 | | 3 | | 53 | | 3 | | 53 | |
| 4 | 2 | 54 | | 4 | | 54 | | 4 | | 54 | |
| 5 | 1 | 55 | | 5 | | 55 | | 5 | | 55 | |
| 6 | 1 | 56 | | 6 | | 56 | | 6 | | 56 | |
| 7 | 1 | 57 | | 7 | | 57 | | 7 | | 57 | |
| 8 | 1 | 58 | | 8 | 1 | 58 | | 8 | | 58 | |
| 9 | 1 | 59 | | 9 | 1 | 59 | | 9 | | 59 | |
| 10 | 2 | 60 | | 10 | | 60 | | 10 | | 60 | |
| 11 | 2 | 61 | | 11 | | 61 | | 11 | | 61 | |
| 12 | 1 | 62 | | 12 | | 62 | | 12 | | 62 | |
| 13 | 1 | 63 | | 13 | | 63 | | 13 | | 63 | |
| 14 | 1 | 64 | | 14 | | 64 | | 14 | | 64 | |
| 15 | 1 | 65 | | 15 | 1 | 65 | | 15 | | 65 | |
| 16 | 1 | 66 | | 16 | | 66 | | 16 | | 66 | |
| 17 | 1 | 67 | | 17 | | 67 | | 17 | | 67 | |
| 18 | 1 | 68 | | 18 | | 68 | | 18 | | 68 | |
| 19 | 1 | 69 | | 19 | | 69 | | 19 | | 69 | |
| 20 | 1 | 70 | | 20 | | 70 | | 20 | | 70 | |
| 21 | 2 | 71 | | 21 | | 71 | | 21 | | 71 | |
| 22 | 1 | 72 | | 22 | | 72 | | 22 | | 72 | |
| 23 | 1 | 73 | | 23 | | 73 | | 23 | | 73 | |
| 24 | 1 | 74 | | 24 | | 74 | | 24 | | 74 | |
| 25 | 1 | 75 | | 25 | | 75 | | 25 | | 75 | |
| 26 | 1 | 76 | | 26 | | 76 | | 26 | | 76 | |
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| 44 | | 94 | | 44 | | 94 | | 44 | | 94 | |
| 45 | | 95 | | 45 | | 95 | | 45 | | 95 | |
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| 47 | | 97 | | 47 | | 97 | | 47 | | 97 | |
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| 49 | | 99 | | 49 | | 99 | | 49 | | 99 | |
| 50 | | 100 | | 50 | | 100 | | 50 | | 100 | |

Independent 8
Dependent 24
Total 32

Independent 8
Dependent 41
Total 49

Independent _____
Dependent _____
Total _____

O.S.&M. File No. 217770US0PCT

By NFO/rkm/FF

Due date 05/19/02

Serial No. 10/019,282

In the Matter of the Application of Takashi SHIBATA et al.

For **GENE ENCODING CYCLIC LIPOPEPTIDE ACYLASE AND EXPRESSION OF THE SAME**

The following has been received in the U.S. Patent Office on the date stamped hereon:

- ___ pgs. Specification, ___ Claims (English Translation)
- Response to Notice of Missing Requirement Under 35 U.S.C. 371
- Combined Declaration, Petition & Power of Attorney (3 pages)
- Copy of Notification of Missing Requirements
- Preliminary Amendment
- Petition for Extension of Time
- Check for \$942.00; Dep. Acct. Order Form
- Verified Statement (Declaration) Claiming Small Entity Status
- Submission of Verified Statement (Dec.) Claiming Small Entity Status
- Declaration of _____
- Letter Requesting Approval of Drawing Changes
- Drawings ___ sheets
- List of Related Cases
- Information Disclosure Statement; PTO-1449
- Cited References ()
- _____ Search Report
- Statement of Relevancy

Rec'd PCT/PTO 11 APR 2002
DATE RECEIVED

Docket No. 217770US0PCT

IN RE APPLICATION OF: Takashi SHIBATA et al.

SERIAL NO: 10/019,282

FILED: January 2, 2002

FOR: GENE ENCODING CYCLIC LIPOPEPTIDE ACYLASE AND EXPRESSION OF THE SAME

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Transmitted herewith is an amendment in the above-identified application.

No additional fee is required
 Small entity status of this application under 37 C.F.R. §1.9 and §1.27 is claimed.
 Additional documents filed herewith: Response to Notification/Notification of Missing Requirements/Filing Receipt Declaration/Check for \$942.00

The Fee has been calculated as shown below:

| CLAIMS | CLAIMS REMAINING | | HIGHEST NUMBER PREVIOUSLY PAID | NO. EXTRA CLAIMS | RATE | CALCULATIONS |
|-------------|---------------------|--|---|------------------------|-----------|--------------------------------------|
| TOTAL | 49 | MINUS | 20 | 29 | x \$18 = | \$522.00 |
| INDEPENDENT | 8 | MINUS | 3 | 5 | x \$84 = | \$420.00 |
| | | <input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS | | | + \$280 = | \$0.00 |
| | | | | | | TOTAL OF ABOVE CALCULATIONS \$942.00 |
| | | <input type="checkbox"/> Reduction by 50% for filing by Small Entity | | | | \$0.00 |
| | | <input type="checkbox"/> Recordation of Assignment | | | + \$40 = | \$0.00 |
| | | | | | | TOTAL \$942.00 |

A check in the amount of \$942.00 is attached.
 Please charge any additional Fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.
 If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 C.F.R. §1.136, and any additional fees required under 37 C.F.R. §1.136 for any necessary extension of time may be charged to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.



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